Please lype a plus sign (+) inside this box -

TRANSMITTAL

FORM

Typed or printed name

Signature

PTO/SB/21 (08-02)*

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number 09/256,237 Filing Date February 24, 1999 First Named Inventor Heinrich HEIDTMANN etca **Group Art Unit** 1642

(to be used for all correspondence after initial filing) M. Davis **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 38005-0087 ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) ☐ Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Revised Form PTO/SB/08A Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm **HELLER EHRMAN WHITE & MCAULIFFE** Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Date

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Atty. Docket No. 38005-0087

Heinrich HEIDTMANN et al.

Group Art Unit: 1642

Serial No.: 09/256,237

Examiner: M. Davis

Filed: February 24, 1999

For: NUCLEIC ACID CONSTRUCT FOR EXPRESSING ACTIVE SUBSTANCES WHICH CAN BE ACTIVATED BY PROTEASES AND PREPARATION AND USE

CORRECTED INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

On March 11, 2002, Applicants filed an Information Disclosure Statement and Form PTO/SB/08A listing references C01-C14. Please note that reference A06 was cited incorrectly. It should read: JUDAH FOLKMAN, "Clinical Applications of Research on Angiogenesis", The New England Journal of Medicine, December 28, 1994, pp. 1757-1763, Vol. 333, No. 26." A revised Form PTO/SB/08A is submitted herewith for the Examiner's convenience.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

RELEVANCE STATEMENT

The relevance of documents C01-C14 are described in the Declaration of Roland Kontermann under 37 CFR §1.132 filed March 11, 2002.

TIMING/FEE

The required filing fee for submission of an Information Disclosure Statement after the mailing of a first Office Action on the merits but before the mailing date of either (1) a final action under §1.113; (2) a notice of allowance under §1.311; or (3) an action that otherwise closes prosecution in the application was submitted on March 11, 2002. The Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 08-1641.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

HELLER EHRMAN WHITE &

MCAULIFFE

1666 K Street, N.W., Suite 300

Washington, DC 20006

Telephone:

(202) 912-2142

Facsimile:

(202) 912-2020

Patricia D. Granados Attorney for Applicant Registration No. 33,683

Customer No. 26633

PATENT TRADEMARK OFFICE